DRAFT

REGULATIONS FOR ORGANIZING ONLINE MEETING OF INVESTORS AT THE FIRST EXTRAORDINARY GENERAL MEETING OF INVESTORS IN 2026 – DFVN CAPITAL APPRECIATION FUND (DFVN-CAF)

(Attach the Proposal No. 144/CEO/DFVN26 of the Daiichi Life Vietnam Fund Management Company Limited (DFVN) dated 29/10/2026)

- *Pursuant to Law on Enterprises No. 59/2020/QH14 passed by the National Assembly of the Socialist Republic of Vietnam dated 17th June 2020;*
- *Pursuant to Circular No.98/2020/TT-BTC of the Ministry of Finance issued on 16th November 2020 guiding the operation and management of securities investment fund ("Circular 98/2020/TT-BTC");*
- *Pursuant to Circular No.136/2025/TT-BTC of the Ministry of Finance issued on 29th December 2025 amending, supplementing some articles of Circular 98/2020/TT-BTC;*
- *Pursuant to the organization and operation Fund Charter of the DFVN-CAF ("Fund's Charter").*

Article 1. Scope of application

These Regulations apply to the organization of the Meeting of Investors ("***Meeting***") of the DFVN Capital Appreciation Fund (DFVN-CAF) in an online format.

Article 2: Subject of application

The Investors ("***Investors***") of the Fund or authorized representative of Investors (hereinafter referred as delegates) and the parties participating in the Meeting in an online format are responsible for complying with the provisions of these Regulations and any related regulations (if applicable).

Article 3. Participating in the online Meeting

1. All Investors have the right to discuss and vote on the matters authorized by the Meeting in an online format according to these Regulations. The participation and voting of Investors are carried out through the software/system/tool ("***System***") selected by the DFVN Fund Management Company.
2. The procedure for registering Investors to participate in the online Meeting.
   a. The Meeting will take place when the number of Investors participating represents more than 50% of the total Voting Sheets according to the Investor List of the DFVN-CAF Fund as of October 22nd, 2026.
   b. Before the Meeting begins, the DFVN will carry out the registration procedure for Investors attending the Meeting.

c. In the event that Investors fail to update their information in a timely manner, resulting in the registration information not matching the records at the DFVN, the Investors will not be counted as part of the number of Investors attending the Meeting and voting in the online Meeting.

3. Participating in the online Meeting:
   a. Delegates participate in the Meeting on the System according to the instructions provided by the DFVN.
   b. Delegates who successfully complete the registration process to participate in the System, as applied by the DFVN, will be considered eligible Investors to attend and vote. The voting results through the System will be considered valid, equivalent to the results of direct voting at the Meeting.
   c. Delegates can speak and provide any feedback during the Meeting through the features available on the System.

Article 4. Voting and elections at the online Meeting

1. Delegates exercise their voting and election rights through the System according to the instructions provided by the DFVN.
2. Delegates participating in the online Meeting and voting online, their voting results will be recorded by the System either when voting for each item concludes or when the overall voting ends. Investors agree to and are responsible for the voting results once they have logged in and registered for participation according to the provisions in Clause 2 and 3 of Article of these Regulations.
3. In the event that delegates successfully complete the registration process to participate in the System but fails to vote on the System or loses connection (due to network issues, transmission errors, or other reasons), resulting in the System to not record the Investor's vote, the voting result of that Investor will not be recorded.
4. In the event that delegates have left the System or lost connection, and then re-enters the System before the voting period ends, the delegates may continue to participate in the voting on the System to have their voting result recorded.
5. In the event that the online Meeting experiences a loss of connection (due to transmission errors, network issues, or other reasons), the Chair of the Meeting or the person designated by the Chair will temporarily suspend the meeting to resolve the issue within a time frame that is appropriate based on the actual situation at the Meeting.

Article 5: Implementation clauses

Regulations for organizing online Meeting of Investors consists of 5 Articles. These Regulations come into force immediately after being approved by The First Extraordinary General Meeting of Investors in 2026.